UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/540,190	06/20/2005	Jingwei Tan	NL021475	1171	
24737	7590 08/09/2007	EXAMINER			
P.O. BOX 300	LLECTUAL PROPERTY I	STORK, KYLE R			
BRIARCLIFF MANOR, NY 10510			ART UNIT	PAPER NUMBER	
			2178		
			MAIL DATE	DELIVERY MODE	
			08/09/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.		Applicant(s)				
Office Action Summary		10/540,190		TAN ET AL.				
		Examiner		Art Unit				
		Kyle R. Stork		2178				
The MAILING DATE Period for Reply	E of this communication app	ears on the cover sh	eet with the c	orrespondence ac	ldress			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1) Responsive to com	munication(s) filed on 20 Ju	ne 2005.			•			
2a) This action is FINAL	2b)⊠ This	action is non-final.						
3) Since this application	_							
closed in accordance	e with the practice under E	x parte Quayle, 193	5 C.D. 11, 45	3 O.G. 213.				
Disposition of Claims								
4)⊠ Claim(s) <u>1-6</u> is/are p	pending in the application.							
4a) Of the above cla	4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/a	5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-6</u> is/are r	7)							
7) Claim(s) is/a	Claim(s) is/are objected to.							
8) Claim(s) are	subject to restriction and/or	election requirement	nt.					
Application Papers								
9) ☐ The specification is o	objected to by the Examine	•.						
10) The drawing(s) filed	on is/are: a) acce	epted or b)⊡ object	ed to by the E	xaminer.				
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 11	19							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
Attachment(s)  1) Notice of References Cited (P7)	ro-892)	4) 🗀 Into	rview Summary (	(PTO-413)				
2) Notice of Draftsperson's Paten	Pap	er No(s)/Mail Da	te					
3) X Information Disclosure Stateme Paper No(s)/Mail Date	· <u></u>	ice of Informal Pa er:	atent Application					

Application/Control Number: 10/540,190 Page 2

Art Unit: 2178

#### **DETAILED ACTION**

1. This non-final office action is in response to the preliminary amendment filed 20 June 2005.

2. Claims 1-6 are pending. Claims 1 and 4 are independent.

### Information Disclosure Statement

- 3. The information disclosure statement (IDS) submitted on 20 June 2005 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.
- 4. The listing of references in the Search Report is not considered to be an information disclosure statement (IDS) complying with 37 CFR 1.98. 37 CFR 1.98(a)(2) requires a legible copy of: (1) each foreign patent; (2) each publication or that portion which caused it to be listed; (3) for each cited pending U.S. application, the application specification including claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion, unless the cited pending U.S. application is stored in the Image File Wrapper (IFW) system; and (4) all other information, or that portion which caused it to be listed. In addition, each IDS must include a list of all patents, publications, applications, or other information submitted for consideration by the Office (see 37 CFR 1.98(a)(1) and (b)), and MPEP § 609.04(a), subsection I. states, "the list ... must be submitted on a separate paper." Therefore, the references cited in the Search Report have not been

٠ ٠

Art Unit: 2178

Page 3

considered. Applicant is advised that the date of submission of any item of information or any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the IDS, including all "statement" requirements of 37 CFR 1.97(e). See MPEP § 609.05(a).

## Specification

5. MPEP 608.01 states, "The text of the specification sections defined in paragraphs (b)(1) through (b)(12) of this section, if applicable, should be preceded by a section heading in uppercase and without underlining or bold type." Below, the examiner has included a listing of the sections to be included within the specification.

## Content of Specification

- (a) <u>Title of the Invention</u>: See 37 CFR 1.72(a) and MPEP § 606. The title of the invention should be placed at the top of the first page of the specification unless the title is provided in an application data sheet. The title of the invention should be brief but technically accurate and descriptive, preferably from two to seven words may not contain more than 500 characters.
- (b) <u>Cross-References to Related Applications</u>: See 37 CFR 1.78 and MPEP § 201.11.
- (c) <u>Statement Regarding Federally Sponsored Research and Development:</u> See MPEP § 310.
- (d) The Names Of The Parties To A Joint Research Agreement: See 37 CFR 1.71(g).
- (e) <u>Incorporation-By-Reference Of Material Submitted On a Compact Disc:</u>
  The specification is required to include an incorporation-by-reference of electronic documents that are to become part of the permanent United States Patent and Trademark Office records in the file of a patent

Art Unit: 2178

application. See 37 CFR 1.52(e) and MPEP § 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text were permitted as electronic documents on compact discs beginning on September 8, 2000.

- (f) <u>Background of the Invention</u>: See MPEP § 608.01(c). The specification should set forth the Background of the Invention in two parts:
  - (1) <u>Field of the Invention</u>: A statement of the field of art to which the invention pertains. This statement may include a paraphrasing of the applicable U.S. patent classification definitions of the subject matter of the claimed invention. This item may also be titled "Technical Field."
  - (2) Description of the Related Art including information disclosed under 37 CFR 1.97 and 37 CFR 1.98: A description of the related art known to the applicant and including, if applicable, references to specific related art and problems involved in the prior art which are solved by the applicant's invention. This item may also be titled "Background Art."
- g) Brief Summary of the Invention: See MPEP § 608.01(d). A brief summary or general statement of the invention as set forth in 37 CFR 1.73. The summary is separate and distinct from the abstract and is directed toward the invention rather than the disclosure as a whole. The summary may point out the advantages of the invention or how it solves problems previously existent in the prior art (and preferably indicated in the Background of the Invention). In chemical cases it should point out in general terms the utility of the invention. If possible, the nature and gist of the invention or the inventive concept should be set forth. Objects of the invention should be treated briefly and only to the extent that they contribute to an understanding of the invention.
- (h) <u>Brief Description of the Several Views of the Drawing(s)</u>: See MPEP § 608.01(f). A reference to and brief description of the drawing(s) as set forth in 37 CFR 1.74.
- (i) Detailed Description of the Invention: See MPEP § 608.01(g). A description of the preferred embodiment(s) of the invention as required in 37 CFR 1.71. The description should be as short and specific as is necessary to describe the invention adequately and accurately. Where elements or groups of elements, compounds, and processes, which are conventional and generally widely known in the field of the invention described and their exact nature or type is not necessary for an understanding and use of the invention by a person skilled in the art, they

Page 4

Art Unit: 2178

should not be described in detail. However, where particularly complicated subject matter is involved or where the elements, compounds, or processes may not be commonly or widely known in the field, the specification should refer to another patent or readily available publication which adequately describes the subject matter.

- (j) Claim or Claims: See 37 CFR 1.75 and MPEP § 608.01(m). The claim or claims must commence on separate sheet or electronic page (37 CFR 1.52(b)(3)). Where a claim sets forth a plurality of elements or steps, each element or step of the claim should be separated by a line indentation. There may be plural indentations to further segregate subcombinations or related steps. See 37 CFR 1.75 and MPEP § 608.01(i)-(p).
- (k) Abstract of the Disclosure: See MPEP § 608.01(f). A brief narrative of the disclosure as a whole in a single paragraph of 150 words or less commencing on a separate sheet following the claims. In an international application which has entered the national stage (37 CFR 1.491(b)), the applicant need not submit an abstract commencing on a separate sheet if an abstract was published with the international application under PCT Article 21. The abstract that appears on the cover page of the pamphlet published by the International Bureau (IB) of the World Intellectual Property Organization (WIPO) is the abstract that will be used by the USPTO. See MPEP § 1893.03(e).
- (I) Sequence Listing, See 37 CFR 1.821-1.825 and MPEP §§ 2421-2431. The requirement for a sequence listing applies to all sequences disclosed in a given application, whether the sequences are claimed or not. See MPEP § 2421.02.

# Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Art Unit: 2178

7. Claims 1-6 are rejected under 35 U.S.C. 102(b) as being anticipated by Wistendahl et al. (US 5798845, patented 13 January 1998, hereafter Wistendahl).

As per independent claim 1, Wistendahl discloses a method of operating a recording device, the method comprising:

Storing a recording on a removable recording medium as commanded by a user of the recording device (column 3, lines 1-23; column 3, lines 38-47; column 9, lines 17-33: Here, a removable recording medium (disk) stores hot spot data specified by an author)

Adding interactivity to the recording as commanded by the user of the recording device (column 9, lines 17-33: Here, an author identifies hot spots to be linked, thereby providing interactivity)

Linking the recording and an interactive module based on the interactivity added to the recording (column 3, lines 1-23; column 9, lines 17-33)

As per dependent claim 2, Wistendahl discloses the method further comprising storing the interactive module on the removable recording medium (column 3, lines 38-47).

As per dependent claim 3, Wistendahl discloses the method further comprising storing an interactive link on the removable recording medium, the interactive link representative of the linking of the recording and the interactive module (column 3, lines 1-23 and 38-47).

As per claims 4-6, the applicant claims the recording device executing the method of claims 1-3 respectively. Claims 4-6 are similarly rejected.

Application/Control Number: 10/540,190 Page 7

Art Unit: 2178

#### Conclusion

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Wistendahl et al. (US 6496981, patented 17 December 2002): Discloses recording interactive hot spots linked to content.
- Lemmons (US 2003/0028873, filed 2 August 2002): Discloses interactive advertisements superimposed in a video stream.
- Rasmussen et al. (US 2002/0126990, filed 24 October 2001): Discloses hot spotting video data.
- Deshpande et al. (US 7158666, filed 18 December 2001): Discloses interactive advertisements within a video presentation.
- Goldman (US 6928652, filed 29 May 1998): Discloses an interactive GUI in a video.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyle R. Stork whose telephone number is (571) 272-4130. The examiner can normally be reached on Monday-Friday (8:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong can be reached on (571) 272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2178

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kyle R Stork Patent Examiner Art Unit 2178

krs

STEPHEN HONG SUPERVISORY PATENT EXAMINER